

Hydrogen Production from Photoelectrochemical Cells: Theoretical Considerations and Experimental results



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Direct Conversion Systems

Visible light has sufficient energy to split water (H₂O) into **Hydrogen and Oxygen**

Requires the combination of a Light Harvesting System and a Water Splitting System



Semiconductor photoelectrolysis
Photobiological Systems
Homogeneous water splitting
Heterogeneous water splitting
Thermal cycles

(Sunlight and Water to Hydrogen with No External Electron Flow)

Historical Perspective - Setting Goals

"Holy Grails of Chemistry", Accounts of Chemical Research, vol 28 (1995)

Allen J. Bard & Marye Anne Fox "Artificial Photosynthesis: Solar Splitting of Water to Hydrogen and Oxygen"



<u>Water splitting "Holy Grail" definition</u>: "We want an efficient and long-lived system for splitting water to H₂ andO₂ with light in the terrestrial (AM1.5) solar spectrum at an intensity of one sun. For a practical system, an energy efficiency of at least 10% appears to be necessary. This means that the H₂ and O₂ produced in the system have a fuel value of at least 10% of the solar energy incident on the system....and will not be consumed or degraded under irradiation for at least 10 years."

Chemical Reactions at a Semiconductor Electrolyte Interphase



Higher Efficiency from PEC Devices (as Compared to PV/Electrolysis) Stems from the Lower Operational Current Density when using Direct Solar Light



Hydrogen from Solar Energy and Water PV/Electrolysis vs. PEC Direct Conversion



PV panel area to produce hydrogen for current U.S. fleet (10% system, 70% electrolysis efficiency)

10% efficient PEC direct conversion system for same amount of hydrogen



Renewable Hydrogen Production via Electrolysis



An electrolyzer is an energy conversion device, converting electrical energy into chemical energy in the form of chemical bonds.



150 MW: It has been done before!

Norsk Hydro's 30,000 Nm³/h (~150 MW) Electrolyzer Plant (1948 - 90)



Connected to a hydroelectric plant, generating about 70,000 kg/day, enough for 7,500,000 km/day for FCVs. (100km/kg)

Knut Harg, Hydro Oil & Energy, Hydrogen Technologies NAS – Hydrogen Resource Committee, April 19, 2007



Material Challenges (the big three)

Characteristics for Ideal Photoelectrochemical Hydrogen Production Material

Electro



Efficiency – the band gap (E_g) must be at least 1.6-1.7 eV, but not over 2.2 eV; must have high photon to electron conversion efficiency (Grand Challenge)

Material Durability – semiconductor must be stable in aqueous solution

Energetics – the band edges must straddle H₂O redox potentials

All must be satisfied simultaneously

Band Edges of p- and n-Type Semiconductors Immersed in Aqueous Electrolytes to Form Liquid Junctions



Efficiency Considerations Any viable PEC device must have the same external quantum efficiency as commercial PV devices

 Solar Energy conversion efficiency for photoelectrolysis =

> <u>(Chemical potential) * (rate)</u> = <u>1.23V * current(mA/cm²)</u> Light intensity 100mW/cm²

 Unlike solar cells, for PEC hydrogen, the potential is fixed. The only variable in the efficiency is the rate of the production of hydrogen – <u>the current</u>.





Solar Spectra

ASTM G173-03 Reference Spectra



Maximum Current vs. Bandgap for AM 1.5



REL National Renewable Energy Laboratory

Materials

Break down the very large set of possible materials into two general categories

- III-V materials have the highest solar conversion efficiency of any semiconductor material
 - Large range of available band gaps (0.7eV 3.4 eV)
 - Stability an issue nitrides show promise for increased lifetime
 - Band-edge mismatch with known materials tandems an answer
 - I-III-VI materials offer high photon conversion efficiency and possible low-cost manufacturing
 - Synthesis procedures for desired band gap unknown
 - Other thin-film materials with good characteristics
 - SiC: low-cost synthesis, stability
 - SiN: emerging material

Mixed Metal Oxides

- Theory-DFT calculations to identity promising candidates
- Synthesis and characterization
- Cu, Bi, Sb based ternary or multinary oxides





Photocurrent time profile for PEC/PV Water-Splitting device GaAs/GalnP₂



O. Khaselev and J. A. Turner, Science, **280**, pg 425 (1998).

в



Small Nitrogen Addition Shows Improved Corrosion Resistance

- Ga_{.95}In_{.05}N_{.025}P_{.975} lattice matched to silicon
- 24-hour corrosion test
 - Constant 5 mA/cm² applied current
 - Sample illuminated at 1 sun
- Profilometry etch depth
 - 0.1 µm average





Alloy GaN with InN



Band gap for GaN: 3.44 eV Band gap for InN: 0.7 eV¹

> An alloy from this two would give large freedom to tune the band gaps.

- (1) Inushima, T.; Vecksin, V. V.; Ivanov, S. V.; Davydov, V. Y.; Sakon, T.; Motokawa, M. *J. Cryst. Growth* **2001**, *481* (1), 227–228.
- (2) Bhuiyan, A. G.; Hashimoto, A.; Yamamoto, A. Appl. Phys. Rev. 2003, 94 (5), 2779–2807.
- (3) J. Wu, W. Walukiewicz, K.M. Yu, J.W. Ager III, E.E. Haller, Hai Lu, and William J. Schaff, APL, 80, p4741 **2002** (http://www.osti.gov/energycitations/purl.cover.jsp?purl=/799591-nLuLXr/)

A Possible PEC Material Set

Count

- In_xGa_{1-x}N Materials by Molecular Beam Epitaxy (MBE)
 - Higher purity (no trapped impurities)
 - Improved efficiency
 - Improved stability
 - Pure nitride (not mixed group V)
 - Improved transport properties (GaN)
 - Tunable (direct) band gap
 - Adding indium lowers E_g





XRD

Material Search: InGaN Alloys by MBE

- Tunable band gap in visible region
- Band edge alignment
 - M-S: aligned
 - OCP, PC onset: Not aligned

- Unbiased water splitting
 - Photocurrent at zero bias
 - Very low efficiency
 - Catalyzed by Pt
- Durability not yet assessed



Metal Oxides – A materials assessment

PEC devices must have the same external photon-to-

electron conversion efficiency as PV devices.

- Transitions which impart color to the oxides typically involve d and f levels and are generally forbidden transitions = <u>low</u> <u>absorption coefficients</u>.
 - The low absorption coefficients result in the incident light penetrating deep into the material.
 - 100's um of material are necessary for complete adsorption.
- <u>Carrier mobilities in oxides are very low</u> so recombination of photogenerated carriers occurs before they can reach the semiconductor/electrolyte interface.
- Even good single crystals of metal oxides have very low energy conversion efficiencies due to inherently <u>short diffusion lengths.</u>

Woodhouse, Herman & Parkinson, Chem. Mater. 17, p4318 (2005)

However a success in this area, e.g. an oxide with good to excellent conversion properties, could revolutionize the PV industry as well as make PEC near-term.

The Status of Current Oxides

~200 oxides tested Chem. Mater, 20, 35 (2008)

No metal oxide is even close to meeting the criteria 10's of millions of possibilities

High Tc oxides are multicomponent - $(HgBa_2CaCu_2O_6)$ Therefore many elements may be necessary to meet the required properties.



Discovering new oxide semiconductors

Combinatorial is like saying "I'm clueless", but where do you start and where to you go?

A collaboration of theory, synthesis, and characterization groups is necessary to achieve fundamental PEC goals.

Band structure calculations using Density Functional Theory can give you spectra, nature of the transition (direct, indirect) and band gap energy trends.

The actual band structure depends on

Symmetry, composition, bond length and requires

Sophisticated DFT calculations

The key will be the predictive capability of the theory groups coupled with the synthesis capability of the growth groups.



Prediction from DFT



CoAl₂O₄ has poor absorption characteristics, but should improve with Ga and In.

The bandgap trend was correct, but the performance was abysmal.



Why is conduction poor in some oxides?





For example: $Co^{+2} \rightarrow Co^{+3}$ in spinel structure.

And $Fe^{+2} \longrightarrow Fe^{+3}$ in Fe_2O_3

- If <u>a</u> increases, the charge hopping probability decreases.
- At large <u>a</u> the electrons are tightly bounded to the atoms.
- In general, doping will not improve the situation.



Improving current oxides

Reducing the volume increases "hopping" probability



A volume compression with 50 GPa pressure is needed to break the Mott-Hubbard state for Fe_2O_3 (M.P. Pasternak, G.K. Rozenberg, G.Y. Machavariani, O. Naaman, R.D. Taylor, R. Jeanloz, Phys. Rev. Lett. 82, 4663 (1999).)

Theoretical Considerations – Localized Bands

Identifying problems for a-Fe₂O₃ (Hematite)





Calculated band structure

NREL National Renewable Energy Laboratory

Problem: Huge electron effective mass – short carrier life time

Band structure



Nanostructure PEC cells: Conformal S/L interface Reduce thickness (electrode)



However, if nanostructure does not address the fundamental limitations of the electronic structure, then it of little value.



Discovering new semiconductors

Trend of atomic orbitals – the intrinsic property





Abundance







If PV is to meet its potential, it must be able to sustain a high growth rate. What technologies can do that? We need new PV materials

Computational approach for combinatorial design of semiconductor chemistry for bandgap engineering.



Available online at www.sciencedirect.com



www.elsevier.com/locate/apsusc

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Combinatorial design of semiconductor chemistry for bandgap engineering: "virtual" combinatorial experimentation

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Abstract

The objective of this paper is to show how one may design combinatorial libraries a priori by integrating data mining techniques with physically robust multivariate data. It is shown that large datasets can be developed from relatively small amounts of experimental and theoretically based information. This involves a process of strategically selecting appropriate physical based parameters that can be analyzed in a multivariate manner. In this paper we identify for the first time the bandgap and lattice parameters of nearly 200 stoichiometries of new and yet to be synthesized compound chalcopyrite semiconductors. The robustness of this "virtual" combinatorial experimentation approach is demonstrated by comparison to band gap predictions from theoretical studies on a range of compositions for a selected quaternary compound semiconductor. (© 2003 Elsevier B.V. All rights reserved.

JEL classification: 71.55.Cn; 71.55.Eq; 71.55.Gs

Keywords: Semiconductor compounds; Crystallographic databases; Crystal stoichiometry

1. Introduction

The field of "bandgap engineering" is in fact one of the earliest examples of combinatorial design of materials. The recognition that by matching lattice parameters of different covalently bonded semiconductors, one can engineer the bandgap of epitaxial heterostructures has been one of the success stories in integrating fundamental physics into device engineering. However, the strategy of what materials one may work with is limited to relatively few and the "discovery" of new materials with more complex chemistries is still a slow

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process. Clearly high throughput experimentation techniques offer some exciting possibilities for developing such new materials. In this paper we wish to outline the use of a statistically based strategy combined with the appropriate understanding of key physical parameters to show how we can develop a computational screening tool prior to conducting combinatorial experiments. We propose the idea of "virtual" combinatorial libraries, which lay a map of suggested chemical combinations, likely to give the desired properties one is seeking. Using chalcopyrite semiconductors as a testbed, we show how such a library can be built. The formalisms of the mathematical foundations are described in Appendix A but suffice it to say that a judicious use of multivariate statistics tools serves as the means to manipulate and process the incoming data.

| Compound | Predicted band gap energy (eV) by PLS | Theoretical band gap energy (eV) | Nature of band gap ^a | Predicted lattice constant by PLS | Lattice constant (Å) | cla ratio | Reference |
|---------------------|--|-------------------------------------|---------------------------------|--------------------------------------|-------------------------|-----------|-----------|
| Zn0.5Si0.5P | 2.3591 | 1.56 (GW) | d | 5.3759 | 5.262 | | [9] |
| Zn0.5Si0.5As | 1.5299 | 0.68 (GW) | md | 5.571 | 5.483 | | [8] |
| ZnStSb ₂ | -0.055 | 0.9 | | 5.6125 | 6.077 | | [2] |
| Zno.5Sio.5Sb | -0.055 | | | 5.6123 | 5.962 | | [8] |
| ZnSiBi ₂ | -1.6445 | | | 5.9063 | | | |
| ZnGeN ₂ | 4.9757 | | | 5.7709 | | | |
| Zno.5Geo.5P | 1.8692 | 1.15 (GW) | I | 5.4837 | 5.382 | | [9] |
| Zno.5Geo.5As | 1.04 | 0.23 (GW) | md | 5.6789 | 5.597 | | [9] |
| ZnGeSb ₂ | -0.5449 | 0.5 | | 5.7202 | 6.111 | | [2] |
| ZnGeBi ₂ | -2.1344 | | | 6.0141 | | | |
| ZnSnN ₂ | 4.4468 | | | 5.8719 | | | |
| ZnSnP ₂ | 1.3403 | | | 5.5847 | 5.651 | 2 | [1.2] |
| Zno Sno P | 1.3404 | 1.70 (GW) | I | 5.5847 | 5.688 | | [9] |
| ZnSnAs ₂ | 0.5112 | | | 5.7799 | 5.851 | 2 | [1,2] |
| Zno sSno sAs | 0.5112 | 0.79 (GW) | md | 5.7799 | 5.87 | | [9] |
| ZnSnSb ₂ | -1.0738 | | | 5.8212 | 6.275 | 2 | [1] |
| ZnSnBi ₂ | -2.6633 | | | 6.1151 | | | |
| ZnPbN ₂ | 3.7358 | | | 6.0915 | | | |
| ZnPbP ₂ | 0.6293 | | | 5.8043 | | | |
| ZnPbAs | -0.1998 | | | 5.9994 | | | |
| ZnPbSb ₂ | -1.7848 | | | 6.0407 | | | |
| ZnPbBia | -3.3743 | | | 6.3347 | | | |
| CdCN ₂ | 5.8097 | | | 5.9651 | | | |
| CdCP ₂ | 2.7032 | | | 5.6779 | | | |
| Cdo Co P | 2.7032 | | | 5.6779 | 4.973 | | [8] |
| CdCAs | 1.8741 | | | 5.8731 | | | 1.01 |
| Cdo «Co «As | 1.8741 | | | 5.8731 | 5.201 | | [8] |
| CdCSb | 0.2891 | | | 5.9143 | 01201 | | [o] |
| Cdo Co Sb | 0.2891 | | | 5.9143 | 5.62 | | [8] |
| CdCBia | -1.3004 | | | 6.2083 | | | 1-1 |
| CdSiN ₂ | 5.2692 | | | 5.9568 | | | |
| Cdo sSio sP | 2.1627 | 1.22 (GW) | | 5.6696 | 5.378 | | [9] |
| CdosSiosAs | 1.336 | | | 5.8648 | 5.61 | | [8] |
| CdSiSba | -0.2514 | 0.8 | | 5.9061 | 6.344 | | [2] |
| CdSiBia | -1.18409 | | | 6.2 | | | 1-1 |
| CdGeNa | 4 7793 | | | 6.0646 | | | |
| Cdo «Geo «P | 1.6729 | 0.33 (GW) | d | 5.7774 | 5.523 | | [9] |
| Cdo Geo As | 0.8437 | 0.00 (0.11) | Metallic | 5 9726 | 5 755 | | [8] |
| CdGeSha | -0.7412 | 0.2 | metame | 6.0139 | 6.383 | | [2] |
| CdGeBia | -2.3308 | 0.2 | | 6 3078 | 0.000 | | (-) |
| CdSnNa | 4 2504 | | | 6 1657 | | | |
| Cdo sSno sP | 1.144 | | | 5 8785 | 5 797 | | [8] |
| CdSnSb | -1.2701 | -0.80 (FLAPW) | | 6 1 1 4 9 | 6.479 | | [6] |
| CdSnBia | -2.8596 | 0.00 (1 1.11 11) | | 6.4089 | 0.177 | | [0] |
| CdPbN- | 3 5394 | | | 6 3852 | | | |
| CdPbPa | 0.433 | | | 6.098 | | | |
| CdPbAs- | -0.3962 | | | 6 2931 | | | |
| CdPbSb. | -1.9811 | | | 6 3344 | | | |
| CdPbBia | -3 5706 | | | 6 6284 | | | |
| HaCN | 4 5586 | | | 6 2613 | | | |
| HaCP. | 1 4522 | | | 5 9741 | | | |
| HaCAs | 0.622 | | | 6 1603 | | | |
| EDVA ANA | 0.023 | | | 0.1093 | | | |

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Computational approach for combinatorial design of semiconductor chemistry for bandgap engineering.





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Combinatorial design of semiconductor chemistry for bandgap engineering: "virtual" combinatorial experimentation

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Department of Materials Science and Engineering, Combinatorial Materials Science and Materials Informatics Laboratory, Rensselaer Polytechnic Institute, Troy, NY, USA

Abstract

The objective of techniques with ph amounts of experir physical based para and lattice paramett The robustness of predictions from th © 2003 Elsevier B JEL classification: 7 $ZnSnN_2 \sim 4.4eV$ $ZnSnP_2 \sim 1.3eV$

?Would it be possible to grow a ZnSnPN alloy combination and tune the bandgap for PEC?

1. Introduction

Keywords: Semicond

The field of "bandgap engineering" is in fact one of the earliest examples of combinatorial design of materials. The recognition that by matching lattice parameters of different covalently bonded semiconductors, one can engineer the bandgap of epitaxial heterostructures has been one of the success stories in integrating fundamental physics into device engineering. However, the strategy of what materials one may work with is limited to relatively few and the "discovery" of new materials with more complex chemistries is still a slow

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process. Clearly high throughput experimentation techniques offer some exciting possibilities for developing such new materials. In this paper we wish to outline the use of a statistically based strategy combined with the appropriate understanding of key physical parameters to show how we can develop a computational screening tool prior to conducting combinatorial experiments. We propose the idea of "virtual" combinatorial libraries, which lay a map of suggested chemical combinations, likely to give the desired properties one is seeking. Using chalcopyrite semiconductors as a testbed, we show how such a library can be built. The formalisms of the mathematical foundations are described in Appendix A but suffice it to say that a judicious use of multivariate statistics tools serves as the means to manipulate and process the incoming data.

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| ZnSnAsa | 0.5112 | 1.1.0 (0.1.) | - î | 5.7799 | 5.851 | 2 | [1.2] |
| ZnosSnosAs | 0.5112 | 0.79 (GW) | md | 5.7799 | 5.87 | - | [9] |
| ZnSnSh | -1.0738 | uny (any) | ind | 5 8212 | 6 275 | 2 | (1) |
| ZnSnBia | -2.6633 | | | 61151 | 0.275 | - | [1] |
| ZnPhN | 3 7358 | | | 6.0915 | | | |
| ZnPbP. | 0.6293 | | | 5 8043 | | | |
| ZnPhAs. | -0.1998 | | | 5 0004 | | | |
| ZnPbSb. | -1 7848 | | | 6.0407 | | | |
| ZnDbDi | 2 27/2 | | | 6 22 47 | | | |
| CdCN. | 5 8007 | | | 5 9651 | | | |
| CdCP. | 2 7022 | | | 5,6770 | | | |
| Cd C P | 2.7032 | | | 5.6770 | 4 073 | | 191 |
| CdCA- | 1.9741 | | | 5.0779 | 4.975 | | [0] |
| Cd C As | 1.8741 | | | 5.8731 | \$ 201 | | 101 |
| CICSL | 1.0741 | | | 5.0142 | 5.201 | | [0] |
| CdCSD ₂ | 0.2891 | | | 5.9143 | 5.60 | | 101 |
| Cd _{0.5} C _{0.5} SD | 0.2891 | | | 5.9145 | 5.62 | | [8] |
| CdCB12 | -1.3004 | | | 6.2083 | | | |
| CdSiN ₂ | 5.2692 | 1.22 (000) | | 5.9568 | 5.270 | | 101 |
| Cd _{0.5} Si _{0.5} P | 2.1627 | 1.22 (GW) | | 5.6696 | 5.378 | | [9] |
| Cd _{0.5} S1 _{0.5} As | 1.336 | | | 5.8648 | 5.61 | | [8] |
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| CdGeBi ₂ | -2.3308 | | | 6.3078 | | | |
| CdSnN ₂ | 4.2504 | | | 6.1657 | | | |
| Cd _{0.5} Sn _{0.5} P | 1.144 | | | 5.8785 | 5.797 | | [8] |
| CdSnSb ₂ | -1.2701 | -0.80 (FLAPW) | | 6.1149 | 6.479 | | [6] |
| CdSnBi ₂ | -2.8596 | | | 6.4089 | | | |
| CdPbN ₂ | 3.5394 | | | 6.3852 | | | |
| CdPbP ₂ | 0.433 | | | 6.098 | | | |
| CdPbAs ₂ | -0.3962 | | | 6.2931 | | | |
| CdPbSb ₂ | -1.9811 | | | 6.3344 | | | |
| CdPbBi ₂ | -3.5706 | | | 6.6284 | | | |
| HgCN ₂ | 4.5586 | | | 6.2613 | | | |
| HgCP ₂ | 1.4522 | | | 5.9741 | | | |
| HeCAsa | 0.623 | | | 6.1693 | | | |

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Final Comments

- ✓ Photoelectrochemical water splitting needs new materials, our first silicon.
- This material may or may not be useful for PV, but still must have the same efficiency as commercial PV devices.
- A collaboration of theory, synthesis, and characterization groups is necessary to achieve fundamental PEC goals.
 - Any material search must rapidly achieve a fundamental understanding of the limiting factors of the current material sets and then identify alloy combinations that can address these limitations
 - The key will be the predictive capability of the theory groups coupled with the synthesis capability of the growth groups.
 - > The right questions are needed, experimentalists and theorists
- ✓ Prioritize the properties to search for:
 - 1. Bandgap
 - 2. Type of transition (direct, indirect) and localized vs. delocalized bands
 - 3. Stability, band edges, catalysis
- ✓ PEC is a useful tool to screen possible semiconducting materials



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MBE growth-NREL -Aaron Ptak -Melvin McClauren -Ryan France Ohmic contacts -Anna Duda

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